<u>Submission Highlights & IRB Meeting Dates</u> HKU/HA HKW Institutional Review Board (HKU/HA HKW IRB)

香港大學及醫管局港島西醫院聯網研究倫理委員會

2020

I. APPLICATION PROCEDURES

- (A) New Hospital Authority (HA) Clinical Research Ethics Review (CRER) Portal All applicants have to upload your research ethics application through the new HA CRER Portal (https://harec.ha.org.hk/Portal) w.e.f. 29th November 2016.
- (B) IMPORTANT STEPS to take when using the new HA CRER Portal for uploading
- 1. Please read the Configuration, User Manuals and Quick Guide provided on this webpage
- 2. If you already have an HA e-mail account (e.g.: xxxxx@ha.org.hk) or HKU e-mail account (e.g. xxxxx@hku.hk) then you can access to the Portal after signing up:

https://harec.ha.org.hk/Portal

- 3. If you do not have an HA e-mail account or HKU e-mail account, then you need to follow the steps in the *Applicant's User Manual* to access the Portal by signing up a User Account first.
- 4. Provide details in the Application Form on the Portal
- 5. Attach all the key documents (such as Investigator's Brochure, Protocol, Information Sheet and Consent Forms, Assessment Tools, Questionnaires, short CV, etc...., wherever applicable) by providing the key documents a specific <u>Document Name</u> with <u>Version No.</u> and <u>Date</u> (e.g. *Study Protocol, Version no.01 dated 2016.11.29*)
- 6. Save your documents as **DRAFT** in the Portal (i.e. **DO NOT submit** it via Portal at this stage)
- 7. Print out one hard copy of All your documents and to obtain the required signatures and endorsements and then
- 8. Send in one hard-copy set (with the required "Original Signatures") and one soft copy (by CD-R) for ALL your documents to IRB. For the CD-R, please save each type of documents one by one into the CD-R
- 9. We will inform you if any changes are required, so that you could revise the documents directly in your **DRAFT** on Portal
- 10. We will inform you to send in the required no. of copies of documents for :
 - (a) **Expedited Review**: **2 hard copies** (with 1 copy having the required Original Signatures) and **1 soft copy** (by Cd-R) for ALL types of documents ;or
 - (b) **Full Review** : **1 hard copy** with Original Signatures and **1 soft copy** (**by Cd-R**) for **ALL** types of documents and **10 hard copies** of : Application Form (with copied signatures) and Informed Consents, English & Chinese
- 11. We will inform you to carry out "Submit" on the Portal if the documents are in order.
- 12. Key documents for a submission should include the following with all <u>Chinese documents</u> in **"Full Traditional Chinese"** version :
 - a. Covering submission letter
 - b. Application form
 - c. Protocol (with Version No. and Date)
 - d. Investigator's brochure (if applicable)
 - e. Information sheet and consent form (English & Chinese) (with Version No. and Date), the IRB name in Chinese is 香港大學及醫管局港島西醫院聯網研究倫理委員會
 - f. PI's short CV

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- g. Other study-related documents, e.g. questionnaire, assessment tools, etc.
- h. Certificate of insurance, if applicable
- i. Declaration of support from other departments/services form, if applicable
- 13. For post-approval applications, please send in 1 hard copy with Original Signature and 1 soft copy (by CD-R) of concerned document(s) to IRB, and then you can click "Submit" directly.
- (C) The New HA CRER Portal <u>WILL NOT</u> apply to all types of post-approval activities of applications approved in/before 2016 (For easy identification of this category of submissions, their IRB Reference No. should be: UW 16-XXXX, UW 15-XXX, UW 14-XXX, UW 13-XXX, UW 12-XXX, and years for 2011, 2010......etc):

For all types of post-approval activities of approved applications, such as:

- a. Protocol amendments;
- b. Progress reports;
- c. SAE reports;
- d. Study-related documents reporting;
- e. Final report

Applicants are only required to submit **one hard copy** (**with Original Signature**) **and one soft copy** (**by CD-R**) of concerned documents to IRB for processing. <u>NO Portal uploading</u> is required for all these types of post-approval applications which fall within this category (i.e. your application was first approved in /before 2016). And you still need to use the forms provided on this webpage to perform the above-mentioned post-approval activities.

II. <u>Review Fees</u>

	Type of Submission	Fee for research ethics review by IRB for company- sponsored trials founded on a clinical trial agreement (CTA) (Full Review or Expedited Review)	Fee for another Full-Review due to significant changes to the study protocol	Fee for scientific evaluation by Scientific Review Panel	Total Fees
1.	Phase 1 clinical trial	HK\$17,500 (initial application) (or US\$2,320*)		HK\$12,500 (or US\$1,670*)	HK\$30,000 (or US\$3,990*)
2.	Other company- sponsored clinical trials	HK\$17,500 (initial application) (or US\$2,320*)		Not applicable	HK\$17,500 (or US\$2,320*)
3.	Significant changes to the study protocol that require IRB to perform another Full Review		HK\$14,000 (or US\$1,865*)		HK\$14,000 (or US\$1,865*)
4.	Significant changes to the study protocol that require IRB to perform another Full Review and legal vetting of CTA		HK\$17,500 (or US\$2,320*)		HK\$17,500 (or US\$2,320*)

^{*} with bank charges

A cheque made payable to "Hospital Authority - Queen Mary Hospital" is required to be submitted together with the application documents. All charges are non-refundable.

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III. <u>Endorsement on the application form</u> by Chief of Service or Head of Department (or authorized representatives)

- 1. For HKU (Faculty of Medicine/Dentistry) staff, if the principal investigator / co-investigator is a Head of Department, the application form has to be endorsed by the Associate Dean (Research Affairs); or one of the Assistant Deans (Research Affairs) should the Associate Dean is also participating in the research concerned; and
- 2. For HA staff, if the principal investigator /co-investigator is a Chief of Service, the application form has to be endorsed by the appropriate Hospital Chief Executive (HCE), or the appropriate Cluster Chief Executive (CCE) should the HCE is also participating in the research concerned.

IV. <u>Submission address</u>

The Secretary, HKU/HA HKW Institutional Review Board Room 901, Administration Block, Queen Mary Hospital, 102, Pok Fu Lam Road, Hong Kong.

V. Enquiries

E-mail: hkwirb@ha.org.hk

FAX no.: 2255 4735

Secretariat

a. Mr. Chris YIP (Tel: 2255 4086)
b. Ms. Julia TONG (Tel: 2255 6788)
c. Ms. Minnie LAW (Tel: 2255 6789)
d. Ms. Clara LEUNG (Tel: 2255 4162)

VI. IRB Meeting Dates for Full-Review

IRB Secretariat would list the submission for a full-review only upon receiving all the required documents after necessary amendments well in advance and would confirm with the Principal Investigators the date of the full-review for their mandatory personal presentation in the meeting . If you would like to check the updated status of listing for full-review meetings, please contact IRB Secretary directly.

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Full-Review Meeting Dates 2020

Month		Dates of meeting (Tuesday /Wednesday)				Status of Submission Listing (as at Version Date)
March	2020	3	Mar	2020	Tuesday	Accepting submissions
		18	Mar	2020	Wednesday	
April	2020	7	Apr	2020	Tuesday	
		22	Apr	2020	Wednesday	
May	2020	5	May	2020	Tuesday	
		20	May	2020	Wednesday	
June	2020	2	Jun	2020	Tuesday	
		17	Jun	2020	Wednesday	
July	2020	7	Jul	2020	Tuesday	
		22	Jul	2020	Wednesday	
August	2020	4	Aug	2020	Tuesday	
		19	Aug	2020	Wednesday	
September	2020	1	Sept	2020	Tuesday	
		16	Sept	2020	Wednesday	
October	2020	6	Oct	2020	Tuesday	
		21	Oct	2020	Wednesday	
November	2020	3	Nov	2020	Tuesday	
		18	Nov	2020	Wednesday	
December	2020	1	Dec	2020	Tuesday	
		16	Dec	2020	Wednesday	

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